Docket No.: 9323.053.00-US

THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S)

Boris Atlas

SERIAL NO:

09/780,713

FILING DATE:

February 8, 2001

FOR:

MULTI-CHANNEL TEMPERATURE CONTROL SYSTEM FOR

SEMICONDUCTOR PROCESSING FACILITIES

PETITION TO SUSPEND THE RULES UNDER 37 C.F.R. § 1.183

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The Applicant hereby requests that the Director suspend the requirement under 37 C.F.R. 1.48(a)(2) which states that an inventor being deleted from an application provide a Statement that the error in inventorship occurred without deceptive intent on his part. As noted in the attached Declaration, the currently named inventor, In-Kwon Jeong, refuses to sign the Statement required under 37 C.F.R. § 1.48(a)(1). Furthermore, as indicated in the Declaration, the currently named inventor refuses to acknowledge receipt of the Statement.

Boris Atlas is the true inventor. The error was made without deceptive intent on either In-Kwon Jeong's or Boris Atlas' part. Accordingly, the Applicants request that In-Kwon Jeong be deleted as the inventor and Boris Atlas, the true inventor, be added.

09/21/2005 JADDO1 00000033 500911 09780713

01 FC:1464

130.00 DA

The Applicants also submit herewith the necessary fee set forth in 37 C.F.R. § 1.17(h). In addition, any other fees required to complete the filing of this petition, may be charged to Deposit Account No. 50-0911. Please credit any overpayment to deposit Account No. 50-0911. A duplicate copy of this sheet is enclosed.

Dated: September 19, 2005

Respectfully submitted,

Anthony Josaphson Rag. No. 45,742

By

for? Mark R. Kresloff

Registration No.: 42,766 MCKENNA LONG & ALDRIDGE LLP 1900 K Street, N.W. Washington, DC 20006 (202) 496-7500 Attorney for Applicant



Docket No.: 9323.053.00-US

THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S)

Boris Atlas

SERIAL NO:

09/780,713

FILING DATE:

February 8, 2001

FOR:

MULTI-CHANNEL TEMPERATURE CONTROL SYSTEM FOR

SEMICONDUCTOR PROCESSING FACILITIES

DECLARATION OF ANTHONY JOSEPHSON

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

I, Anthony Josephson, hereby declare the following:

- 1. The present application was filed on February 8, 2001, by the law firm of Wilson & Ham, with a Declaration and Power of Attorney executed by In-Kwon Jeong. The Declaration indicated that In-Kwon Jeong was the sole inventor. The application was filled with an assignment which identified the assignee as Oriol Inc.
- 2. The present application was transferred to our law firm on or about April 14, 2004.
- 3. On or about December 6, 2004, representatives of Oriol Inc. informed me that In-Kwon Jeong was not the actual inventor for the present application. I was informed that Boris Atlas was instead the correct and sole inventor.
- 4. In-Kwon Jeong's present address is 10697 Rosewood Rd., Cupertino, Ca. 95014-6028. His phone number is (408) 257-5720.
- 5. On February 10, 2005, I mailed a letter, via certified mail, to Mr. Jeong. Attached hereto is a copy of the letter. Tab A. In the letter, I explained to Mr. Jeong that he was accidentally named as an inventor in the present application. In addition, I requested that he review and sign a document entitled "Statement of In-Kwon Jeong Under 37 C.F.R. § 1.48(a)." Mr. Jeong did not respond. Unfortunately, we never received the certified mail, return receipt. However, according to the U.S. Postal Service's website, the letter was delivered on February 17, 2005. Tab B.
- 6. On March 18, 2005, I spoke with Mr. Jeong on the telephone. During the conversation, I identified the present application to him, and I explained why Mr. Atlas was the inventor and he was not. Furthermore, I asked Mr. Jeong if he would sign the statement. Mr. Jeong said that he did not want to sign anything without first speaking to his attorney. I encouraged him to do so; however, I also told Mr. Jeong that I was going to mail him another copy of the statement, along with a return

Docket No. 9323.053.00 Application No. 09/780,713

envelope. During the telephone conversation, I also verified that the above-identified address for Mr. Jeong was correct.

- 7. On March 18, 2005, we mailed to Mr. Jeong, via Federal Express, another copy of the statement. *Tab C*. Federal Express returned the package to my office indicating that they could not deliver it. Unfortunately, I do not have a copy of the return receipt from Federal Express.
- 8. On April 22, 2003, we mailed yet another letter to Mr. Jeong, via certified mail. *Tab D*. In this letter, we indicated to Mr. Jeong that we had not received signed copies of the statement. We also explained that if we did not receive the statement by May 6, 2005, we planned on proceeding with the prosecution of the present application without his assistance based on the assumption that he is refusing to sign the Statement. The letter was delivered on April 26, 2005 to a Minjeong Lee, as evidenced by the return receipt. See *Tab E*.
- 9. As of the date of this Declaration, Mr. Jeong has refused to respond to any of our requests.
- 10. I declare under penalty of perjury that the foregoing is true and correct.

| 9/19/05 | | |
|---------|--------------------------------------|--|
| Date | Anthony Josephson Reg. No. 45,742 | |

Atlanta

Denver

Los Angeles

Philadelphia



1900 K Street, NW • Washington, DC 20006 202.496.7500 • Fax: 202.496.7756 www.mckennalong.com San Diego

San Francisco

Washington, DC

Brussels

ANTHONY J. JOSEPHSON (202) 496-7583

EMAIL ADDRESS ajosephson@mckennalong.com

February 10, 2005

CERTIFIED MAIL NO. 7002 2030 0001 2582 8369 RETURN RECEIPT REQUESTED

Mr. In- Kwon Jeong 10697 Rosewood Road Cupertino, CA 95014-6028

Re:

U.S. Patent Application Serial Nos.: 09/780,713 and 09/828,029 Title: MULTI-CHANNEL TEMPERATURE CONTROL SYSTEM

FOR SEMICONDUCTOR PROCESSING FACILITIES

Our Reference Nos.: 9323.053 and 9323.055

Dear Mr. Jeong:

My name is Tony Josephson and I am a patent attorney with McKenna Long & Aldridge LLP in Washington D.C. I am writing you concerning several patent applications that are currently assigned to Oriol, Inc. Our records show that you were properly listed as an inventor on the Oriol applications dealing with chemical mechanical polishing (CMP); however, the information we have also indicates that you were accidentally listed as the inventor for two applications relating to multi-channel temperature control for semiconductor processing. It is our understanding that a Mr. Boris Atlas should have been named as the inventor on these applications.

Since you were accidentally named, we need to make the appropriate corrections. In order to do that, we will need for you to review and sign a very short document entitled "Statement of In-Kwon Jeong Under 37 C.F.R. § 1.48(a)". We would like to file this document with the U.S. Patent and Trademark Office by February 28, 2005. Accordingly, please return the the executed document using the enclosed, Federal Express envelope no later than February 25, 2005.

February 10, 2005 Page 2

If you believe our information regarding the inventorship of these two applications is incorrect, or if you have any other questions, please contact me at 202 496-7583.

Very truly yours,

Tony Josephson

AJJ:led Enclosures

7002 2030 0001 102595-02-M-1035 ☐ Agent ☐ Addressee Return Receipt for Merchandise C. Date of Delivery If YES, enter delivery address below: D. Is delivery address different from item 1? ☐ Express Mail 4. Restricted Delivery? (Extra Fee) 0007 _ c.o.p. B. Received by (Printed Name) 2030 2582 0001 Restricted Delivery Fee (Endorsement Required) Retum Reciept Fee (Endorsement Required) Total Postage & Fees Certified Mail

Registered

Insured Mail Service Type Certified Fee A. Signature Postage Domestic Return Receipt s card to the back of the mailpiece, Complete items 1, 2, and 3. Also complete 8369 Restricted Delivery is desired. e can return the card to you. front if space permits. Postmark Here 3811, August 2001 (I) from service label) Service 2582 Postage \$ 0001 Postmark 2030 Restricted Delivery Fee (Endorsement Required)

2582 8369 8369

Docket No.: 9323.053.00

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Patent Application of: | |
|---|--------------------------------------|
| In-Kwon Jeong | Confirmation No.: 6878 |
| Application No.: 09/780,713 | Art Unit: 3753 |
| Filed: February 8, 2001 | Examiner: L. V. Ciric |
| For: MULTI-CHANNEL TEMPERATURE CONTROL SYSTEM FOR SEMICONDUCTOR PROCESSING FACILITIES | Customer No.: 30827 |
| STATEMENT OF IN-KWON JEONG | UNDER 37 CFR § 1.48(a) |
| Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | |
| Dear Sir: | |
| I, In-Kwon Jeong, state that I have been named | as the inventor for the above- |
| captioned application and hereby request that my name | be removed as the inventor of record |
| The error in inventorship occurred without deceptive in | ntent on my part. |
| Re | espectfully submitted, |
| Dated: By | <i>7</i> : |
| | In-Kwon Jeong |



Track & Confirm

Current Status

You entered 7002 2030 0001 2582 8369

Your item was delivered at 10:10 am on February 17, 2005 in CUPERTINO, CA 95014.....

(Shipment Details >

Track & Confirm FAQs

Track & Confirm Enter label number:

Notification Options

▶ Track & Confirm by email ____ What is this? ___





site map contact us government services Copyright © 1999-2002 USPS. All Rights Reserved. Terms of Use Privacy Policy

Atlanta

Denver

Los Angeles

Philadelphia

MARK R. KRESLOFF

(202) 496-7513

McKenna Long & Aldridge LLP

1900 K Street, NW • Washington, DC 20006 202.496.7500 • Fax: 202.496.7756 www.mckennalong.com San Diego

San Francisco

Washington, DC

Brussels

EMAIL ADDRESS mkresloff@mckennalong.com

March 18, 2005

VIA FEDERAL EXPRESS

Mr. In- Kwon Jeong 10697 Rosewood Road Cupertino, CA 95014-6028

Re:

U.S. Patent Application Serial Nos.: 09/780,713 and 09/828,029 Title: MULTI-CHANNEL TEMPERATURE CONTROL SYSTEM FOR SEMICONDUCTOR PROCESSING FACILITIES -and - U.S. Patent Application Serial Nos. 11/002,413 and 11/030,323

Title: METHOD OF FABRICATING VERTICAL STRUCTURE LEDS Our Reference Nos.: 9323.053; 9323.055; 9323.030.10 & 9323.030.020

Dear Mr. Jeong:

Thank you for taking our telephone call earlier today. I understand your concern over signing the enclosed documents. Please let me reassure you that you have done nothing wrong. We are merely trying to correct paperwork associated with a few of the Oriol patent applications that will soon issue as U.S. patents.

Enclosed are two sets of documents. The first set of documents relates to a patent application involving vertical LEDs. The title of the application is *METHOD OF FABRICATING VERTICAL STRUCTURE LED*. It is our understanding that you are correctly listed as one of the inventors for this application. On May 31, 2002, you signed a Declaration relating to this application. I have enclosed a copy for you to review. However, the person who prepared this Declaration accidentally failed to list your citizenship on the document. This is a mistake we cannot correct ourselves. The only way to correct it, is to have you re-sign the document. The Declaration merely confirms that you are one of the inventors and that you have read the corresponding patent application. Just in case, I am including a copy of the application for you to review. If, after you review the application, you agree that you are one of the inventors, please sign and date both of the Declarations that I have enclosed. Please note that there are now two Declarations because the Patent Office required that we break the patent claims (listed at the end of the application), into two separate applications.

The second set of documents relate to two other patent applications which involve multichannel temperature control for semiconductor processing. We have been told that you were Mr. In-Kwon Jeong March 18, 2005 Page 2

MRK:led Enclosures

accidentally named as an inventor on these patent applications. We have been told that the actual inventor is a person named Boris Atlas. Again, let me assure you that you have done absolutely nothing wrong or improper. The mistake was made by the lawyer who originally handled these applications. However, in order to correct this error, we need you to sign a document entitled STATEMENT OF IN-KWON JEONG UNDER 37 CFR 1.48a. This document merely explains that the error was not intentional. There are two separate statements, because there are two separate applications. Again, I am enclosing a copy of the applications, so that you can review them and assure yourself that you are not one of the inventors. If, after you review the applications, you agree that you are not an inventor, please sign and date both of the documents entitled STATEMENT OF IN-KWON JEONG UNDER 37 CFR 1.48a. However, if you believe you are an inventor, we need for you to contact us as soon as possible.

If you sign the enclosed documents, please send them to us as soon as possible, by placing them in the pre-paid, self-addressed return Federal Express envelope. If you have any questions, please feel free to contact me by telephone or email. If you for any reason believe you need to obtain legal advice on this matter, we certainly encourage you to do so. Nevertheless, we would like to hear back from you relatively soon, preferably no later than April 30, 2005.

Thank you for your cooperation.

Very truly yours,

Mark R. Kresloff

Atlanta

Denver

Los Angeles

Philadelphia

McKenna Long & Aldridge LLP

1900 K Street, NW • Washington, DC 20006 202.496.7500 • Fax: 202.496.7756 www.mckennalong.com San Diego

San Francisco

Washington, DC

Brussels

EMAIL ADDRESS mkresloff@mckennalong.com

April 22, 2005

CERTIFIED MAIL RETURN RECEIPT

Mark R. Kresloff

(202) 496-7513

Mr. In- Kwon Jeong 10697 Rosewood Road Cupertino, CA 95014-6028

Re:

U.S. Patent Application Nos.: 09/780,713 and 09/828,029

Title: MULTI-CHANNEL TEMPERATURE CONTROL SYSTEM

FOR SEMICONDUCTOR PROCESSING FACILITIES

Our References: 9323.053.00 and 9323.050.00 0 5 5

Attorneys: Mark R. Kresloff/ Anthony Josephson

Dear Mr. Jeong:

Further to our letter of March 18, 2005, we have not yet received signed copies of the two statements that were sent to you with that letter. If we do not receive them by May 6, 2005, we plan to proceed with the prosecution of the above-identified patent applications without your assistance, based on the assumption that you refuse to sign the documents.

If you have any questions, please feel free to contact me

MRK/AJJ/led DC:50326283.1

| Very truly yo | ours, | | |
|---------------|--|-------------------------|--|
| Mark R. K | (Domestic Mail C | D MAIL _{TM} RE | Coverage Provided) |
| 582 | For delivery inform: | ation visit our website | at www.usps.com USE |
| 5 2030 0007 2 | | \$ | 9323 Postmark Here 053 4-22.05 |
| 002 | Street, Apt. No.; or PO Box No. Oity, State, ZIR+4 PS Form 3800, Tune 2002 | 24/PG | See Bourse for January |

| | 4. Mark 196 to 1965 topp person |
|--|--|
| SENDER: COMPLETE THIS SECTION | COMPLETE THIS SECTION ON DELIVERY |
| Complete items and 3. Also complete item 4 if Restricted Delivery is desired. Print your name and address on the reviso that we can return the card to you. Attach this card to the back of the mail | verse Rinient Lee 954/05 |
| or on the front if space permits. | piece, Agent |
| Article Addressed to: | D. Is delivery address different from item 1? Yes |
| 1 Joseph Locals | If YES, enter delivery address below: No |
| Secretary Towns | 3. Service Type Certified Mail |
| S Adv E M P O | 4. Restricted Delivery? (Extra Fee) |
| 2. Article Number (Copy from Service label) | 7002 2030 0001 2582 9014 |
| PS Form 38 17.04/19.19.99 | Domestic Return Receipt 102595-00-M-0952 |
| The state of the s | *** |